

## FULBRIGHT & JAWORSKI L.L.P.

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March 2, 2004

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS DD Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

March 2, 2004

Date

Highlander Steven

MS DD

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 10/743,697 entitled "BISPECIFIC ANTIBODIES" - Peter

Kufer et al.

Our reference: DEBE:028US Client reference: MIC-020US

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A2, B1-B2 and C1-C23.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/DEBE:028US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Steven L. Highlander

Reg. No. 37,642

SLH/kmv Encl.: as noted

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peter Kufer et al.

Serial No.: 10/743,697

Filed: December 22, 2003

For: BISPECIFIC ANTIBODIES

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: DEBE:028US

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Steven L. Highlander

#### INFORMATION DISCLOSURE STATEMENT

MS DD Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

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The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Steven L Highlander

Reg. No. 37,642

Attorney for Applicants

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Account No.: 50-1212/DEBE:028US.

Date:

March 2, 2004

Form PTO-1449 (modified)	Atty. Docket No.	Serial No.		
	DEBE:028US	10/743,697		
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT	Applicant Peter Kufer et al.			
(Use several sheets if necessary)	Filing Date: December 22, 2003	Group: Unknown		

U.S. Patent Documents Foreign Patent Documents Other Art

See Page 1 See Page 1 See Page 1

### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Date Name		Sub Class	Filing Date of App.
	A1	5,258,498	11/02/93	Huston and Oppermann	530	350	5/19/88
	A2	5,525,491	6/11/96	Huston et al.	435	69.7	6/09/94

# **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
-	B1	EP 573 551	5/21/03	Europe			
	B2	EP 623 679	11/09/94	Europe			

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
C1		Baynex, "Recombinant protein expression in Escherichia coli," Curr. Opin. Biotech., 10:411-421, 1999.
	C2	Bohlen et al., "Cytolysis of Leukemic B-cells by T-cells activated via two bispecific antibodies," Cancer Res., 53:4310-4314, 1993.
	C3	Brühl, "Deplection of CCR5-expressing cells with bispecific antibodies and chemokine toxins: a new strategy in the treatment of chronic inflammatory diseases and HIV," <i>J. Immunol.</i> , 166:2420-2406, 2001.
	C4	Cortez-Retamozo et al., "Efficient tumor targeting by single-domain antibody fragments of camels," Int. J. Cancer, 98:456-462, 2002.
	C5	Davies and Reichmann, "Antibody VH domains as small recognition units," <i>Biotechnology</i> , 13:475-479, 1995.
	C6	Davies and Riechmann, "Single antibody domains as small recognition units: design and in vitro antigen selection of camelized, human VH domains with improved protein stability," <i>Protein Engineering</i> , 9(6):531-537, 1996.

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EXAMINER: DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form	PTO-1449 (modified)		Atty. Docket No.	Serial No.	
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O 1 P Cist of	Patents and Publications for	Applicant's	Applicant		
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	See Page 1	Se	ee Page 1	See Page 1	

#### Other Art (Including Author, Title, Date Pertinent Pages, Etc.) Ref. Exam. Citation Init. Des. C7 De Jonge et al., "In vivo retargeting of T cell effector function by recombinant bispecific single chain Fv (anti-CD3 x anti-idiotype) induces long-term survival in the murine BCL1 lymphoma model," J. Immunol., 161:1454-1461, 1998. C8 Desmyter et al., "Antigen specificity and high affinity binding provided by one single loop of a camel singe-domain antibody," J. Biol. Chem., 276(28):26285-26290, 2001. C9 Destmyter et al., "Three camelid VHH domains in complex with porcine pancreatic αamylase," J. Biol. Chem., 277(26):23645-23650, 2002. Dumoulin et al. "Single-domain antibody fragments with high conformational stability," C10 Protein Science, 11:500-515, 2002. Conrath et al., "Camel single-domain antibodies as modular building units in bispecific and C11 bivalent antibody constructs," J. Biol. Chem., 276(10):7346-7350, 2001. C12 Ewert et al., "Biophysical properties of camelid VHH domains compared to those of human VH3 domains," Biochemistry, 41:3628-3636, 2002. C13 Harmsen et al., "Llama heavy-chain V regions consist of at least four distinct subfamilies revealing novel sequence features," Molecular Immunology, 37:579-590, 2000. Jobling et al., "Immunomodulation of enzyme function in plants by single-domain antibody C14 fragments," Nature Biotech., 21:77-80, 2003. Kufer et al., "Construction and biological activity of a recombinant bispecific single-chain C15 antibody designed for therapy of minimal residual colorectal cancer," Cancer Immunol. Immunother., 45:193-197, 1997. Löffer et al., "A recombinant bispecific single-chain antibody, CD19 x CD3, induces rapid and C16 high lymphoma-directed cytotoxicity by unstimulated T lymphocytes," Blood, 95(6):2098-2103, 2000. C17 Mack et al., "Biologic properties of a bispecific single-chain antibody directed against 17-1A (EpCAM) and CD3," J. Immunol., 158:3965-3970, 1997. C18 Mack et al., "A small bispecific antibody construct expressed as a functional single-chain

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Examiner:	DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

molecule with high tumor cell cytotoxicity," Proc. Natl. Acad. Sci., USA, 92:7021-7025, 1995.

Form PTO-1449 (modified)		Atty. Docket No.	Serial No.	
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		Peter Kufer et al.		
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See Page 1	S	ee Page 1	See Page 1	

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C19		Muruganandam et al., "Selection of phage-displayed llama single-domain antibodies that transmigrate across human blood-brain barrier endothelium," FASEB Journal, 16:240-242, 2002.
	C20	Muyldermans et al., "Recognition of antigens by single-domain antibody fragments: the superfluous luxury of paired domains," Trends in Biochemical Sciences, 26(4):230-235, 2001.
	C21	Riechmann, "Rearrangement of the former VL interface in the solution structure of a camelised, single antibody VH domain," J. Mol. Biol., 259:957-969, 1996.
	C22	Spinelli et al., "Camelid heavy-chain variable domains provide efficient combining sites to haptens," Biochemistry, 39:1217-1222, 2000.
	C23	Tanha et al., "Optimal design features of camelized human single-domain antibody libraries," J. Biol. Chem., 276(27):24774-24780, 2001.

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